

ECONOMIC DEVELOPMENT ADMINISTRATION

Community Trade Adjustment Assistance Program Frequently Asked Questions

1.	What is the Community Trade Adjustment Assistance Program?	2
2.	What can Community TAA grants be used to support?	2
3.	Who is eligible to apply for Community TAA funding?	2
4.	How do I know if my community has a Cognizable Certification?	3
6.	What is the deadline to apply under the Community TAA Program?	4
7.	Can I apply if my community is larger and has a population over 100,000?	5
8.	What is the TAA for Workers Significantly Impacted County List and how did EDA create it?	6
9.	What if a Cognizable Certification occurs with respect to a firm, group of workers, or agricultural commodity that is outside of my community?	6
10.	When is an Economic Development District (EDD) eligible to apply for funding?	6
11.	If a consortium of political subdivisions applies, do all the political subdivisions need to have Cognizable Certifications and be Impacted Communities?	
12.	If a community has a Cognizable Certification that was made before January 1, 2007, can the community use it to show trade impact?	7
13.	How do I apply for Community TAA funding?	7
14:	Will I be notified if EDA determines my community is an Impacted Community?	7
15:	What are the Community TAA cost sharing or matching share requirements?	7
16.	How will Community TAA funding applications be evaluated?	7
17.	How long are the Community TAA funds available?	8
18.	What is the anticipated number and amount of awards?	8
19.	What is the type of funding instrument?	8
20.	What are the estimated project periods of the Community TAA grants?	8
21.	Where are the EDA Regional Offices located?	9

1. Q: What is the Community Trade Adjustment Assistance Program?

A: The Community Trade Adjustment Assistance (Community TAA) Program was established under the Trade and Globalization Adjustment Assistance Act of 2009, which was included as subtitle I (letter "I") of title I of Division B of the American Recovery and Reinvestment Act of 2009 and funded under the Supplemental Appropriations Act, 2009. The Program's aim is to help create and retain jobs by providing project grants to communities that have experienced, or are threatened by, job loss resulting from international trade impacts.

2. Q: What can Community TAA grants be used to support?

A: Grants under the Community TAA Program can be used to support a wide range of technical, planning, and infrastructure projects to help communities adapt to pressing trade impact issues and diversify their economies.

See also the <u>Funding Opportunity Description in the Executive Summary at page</u> 1 of the FFO.

3. Q: Who is eligible to apply for Community TAA funding?

A: There are three things you need to know to determine eligibility.

First, is the applicant a "community"? Section 271 of the Trade Act defines "community" as a city, county, or other political subdivision of a State or a consortium of political subdivisions of a State." District Organizations that coordinate and implement the economic development activities of EDA's designated Economic Development Districts (EDDs) also are eligible to apply under this announcement.

See question 10 below for more information on EDD eligibility.

Second, does the community have a "Cognizable Certification" made with respect to it under one of the following three Trade Adjustment Assistance (TAA) Programs: TAA for Workers, TAA for Firms, or TAA for Farmers? Please note that different submission deadlines apply based on the date of a community's most recent Cognizable Certification.

Please see question 4 below sections III.B.2. – III.B.4. of the FFO for more information.

Third, has EDA made an Affirmative Determination that the community is an Impacted Community? The Trade Act requires EDA to determine that a community is "significantly affected by the threat to, or the loss of, jobs associated with" a Cognizable Certification. EDA will accomplish Affirmative Determinations in three ways:

- a. The TAA for Workers Significantly Impacted County List. EDA has made a significance finding on the front end in connection with its analysis of TAA for Workers data and published a list of counties that EDA deems to be Impacted Communities on EDA's website as the "TAA for Workers Significantly Impacted County List." See question 8 below for more information on the list.
- b. **Preapplications to preserve eligibility**. Certain communities may submit a preapplication that contains information for EDA's Affirmative Determination to preserve their funding eligibility under the program.
 - See question 6 below and <u>sections III.B.2. and III.B.3. starting at page 12 of the FFO for more information.</u>
- c. **Full applications**. EDA will make an Affirmative Determination in connection with its decision to fund an application.

See <u>sections III.C.</u> and IV. starting at page 20 of the FFO for more information.

If the answer to all three questions is yes, your community is eligible to apply for assistance. <u>EDA regional offices</u> also are available to help your community determine eligibility.

See <u>section III.A. starting at page 8 of the FFO</u> for more details.

- 4. Q: How do I know if my community has a Cognizable Certification?
 - A: There are a number of straightforward ways to determine whether your community has one or more Cognizable Certifications under the TAA for Workers, Firms, or Farmers Programs.

For the TAA for Workers Program – simply search the Department of Labor's online petition database at

http://www.doleta.gov/tradeact/taa/taa search form.cfm to determine if your community has had a TAA for Workers certification. Note that you can search the database in a number of ways, including by city, State, and date range. You should enter a date range of January 1, 2007 through the date of the search since certifications made before January 1, 2007 do not establish eligibility. Also, you should select the "Certifications" radial button next to "Show" to make sure you only retrieve approved certifications.

In addition, you can search EDA's "TAA for Workers Significantly Impacted County List" online at

http://www.eda.gov/PDF/TAAF%20Certs010107_123109.pdf.
Note that if your county is on this list, it indicates that your county has had a TAA for Workers certification and that EDA has determined that is an Impacted Community, which means that it can proceed to apply for assistance.

Please also see question 8 below for more information.

For the TAA for Firms Program – EDA has posted a list of all firms that have been certified under the TAA for Firms program at http://www.eda.gov/PDF/TAAF%20Certs010107 123109.pdf. The list will be updated at least monthly. See section III.B.1.c. at page 12 of the FFO for more information.

For the TAA for Farmers Program – Please note that currently there are no certifications under the TAA for Farmers Program. The Department of Agriculture will publish all certifications in the *Federal Register* and will post TAA for Farmers Program updates at http://www.fas.usda.gov/ITP/TAA/taa.asp.

Please see <u>section III.B. starting at page 10 of the FFO</u> for further information on Cognizable Certifications and how EDA will accomplish Affirmative Determinations.

- 5. Q: How do I know if my community is an Impacted Community that has been significantly affected by the threat to, or loss of, jobs associated with one or more Cognizable Certifications?
 - A: The community first must know how many jobs have been impacted by each Cognizable Certification and then determine how many jobs out of its Civilian Labor Force (CLF) this impact represents.

Please see <u>section III.B.4. starting at page 17 of the FFO</u>, which provides detailed guidance on what to include for EDA's Affirmative Determination and how to perform this calculation.

- 6. Q: What is the deadline to apply under the Community TAA Program?
 - **A:** EDA has set up a single competition for the Community TAA Program and the deadline to submit a full grant application is April 20, 2010. The closing time is 5:00 p.m. local time in each of <u>EDA's six regional offices</u>.

However, please note that this is not the end of the analysis for many communities and more research is needed to know if your community is affected by an earlier submission deadline.

The Trade Act designates two categories of applicants and requires that communities submit information for EDA's Affirmative Determination by specific deadlines as detailed below:

- a. Grandfathered Communities are those with their most recent Cognizable
 Certification made on or after January 1, 2007 and before August 1, 2009.
 A Grandfathered Community must submit information for EDA's
 Affirmative Determination (either in a preapplication or a full application)
 by February 1, 2010.
- b. Standard Date Communities are those with their most recent Cognizable Certification made on or after August 1, 2009. A Standard Date Community must submit information for EDA's Affirmative Determination within 180 days of the most recent Cognizable Certification.

Because of the April 20, 2010 single competition deadline, EDA has divided this category into two groups as follows:

(i) Group A Standard Date Communities are those with their most recent Cognizable Certification made on or after August 1, 2009 through October 21, 2009. It is extremely important that these communities research the date of their most recent Cognizable Certification so that they can submit information for EDA's Affirmative Determination (either in a preapplication or a full application) within 180 days of that date.

For example, say the date of a Group A Standard Date Community's most recent Cognizable Certification is September 14, 2009. That community must submit a preapplication or full application with information for EDA's Affirmative Determination by March 14, 2010 to be eligible under the Trade Act's deadlines. Inasmuch as March 14 is a Sunday, the deadline would be the next business day, Monday, March 15, 2010.

Any community that submits a preapplication to preserve its eligibility, it still must submit a full grant application by the April 20, 2010 competition deadline to be considered for funding.

(ii) Group B Standard Date Communities are those with their most recent Cognizable Certification made on or after October 22, 2009. Group B Standard Date Communities must submit within 180 days of their most recent Cognizable Certification, but do not need to worry about preserving their eligibility before the competition deadline, because April 20, 2010 falls within the 180day window to submit information for EDA's Affirmative Determination for these communities.

Communities can locate their Cognizable Certifications, including their most recent one, in accordance with the information provided in question 4 and in <u>section III.B.1. starting at page 10 of the FFO</u>. Please read <u>section III.B.2. and III.B.3. of the FFO</u> for complete information on program deadlines.

7. Q: Can I apply if my community is larger and has a population over 100,000?

A: Absolutely. Section 275(e) (19 U.S.C. § 2371d) of the Trade Act does require that EDA give priority to grant applications of small and medium-sized communities, which EDA has defined as those with a population 100,000 or less consistent with standards used by the U.S. Department of Housing and Urban Development. However, this is only one criterion EDA will use to evaluate applications, and larger communities that have a Cognizable Certification and provide information for EDA's Affirmative Determinations are still eligible to apply for funding.

8. Q: What is the TAA for Workers Significantly Impacted County List and how did EDA create it?

A: EDA created the list to help reduce the burden of demonstrating significance for an Affirmative Determination. EDA analyzed job loss data in connection with TAA for Workers certifications and ranked counties based on the relative impact. The decision to use 8.25 workers per 1,000 as significantly impacted and 28 workers per 1,000 workers as severely impacted because these were statistical breaks that EDA's analysis of the data revealed. Please note that counties that are on the list have been deemed by EDA to be Impacted Communities and need only submit a full application by the April 20, 2010 competition deadline to be considered for funding. Sub-county communities within counties on the list still need to submit information for EDA's Affirmative Determination by the relevant deadline based on the community's most recent Cognizable Certification.

Please see <u>section III.B.1. starting at page 10 of the FFO</u> for more information on the list and its implications for interested communities.

- 9. Q: What if a Cognizable Certification occurs with respect to a firm, group of workers, or agricultural commodity that is outside my community? For example, say a firm located 10 miles outside of the jurisdictional boundary of City A is certified under the TAA for Firms Program. Would City A be eligible to apply?
 - A: The goal of the Community TAA Program is to help communities respond to trade impacts by coordinating with and building upon the TAA for Workers, Firms, and Farmers Programs and addressing community-wide trade impacts related to certifications under those programs. Trade impacts are not bound by jurisdictional boundaries, and therefore, if Cognizable Certifications occur within or in the vicinity of a community *and* the community can show it has been significantly impacted by those certifications, it is eligible to apply for funding.
- 10. Q: When is an Economic Development District (EDD) eligible to apply for funding?
 - A: An EDD may apply if it is working with and applying on behalf of a community that would normally be eligible to apply under the program (i.e., that has a Cognizable Certification and a significant trade impact.)
- 11. Q: If a consortium of political subdivisions applies, do all the political subdivisions need to have Cognizable Certifications and be Impacted Communities? For example, if a consortium of counties applies, does each county need to be an Impacted Community?
 - A: No; however at least one county in the consortium must be an eligible Impacted Community and the application must be focused on addressing the trade impact in that county. All communities are encouraged to focus on regional, innovative solutions.

12. Q: If a community has a Cognizable Certification that was made before January 1, 2007, can the community use it to show trade impact?

A: The Trade Act bases applicant categories on Cognizable Certifications made on or after January 1, 2007. The community may use an older Cognizable Certification to demonstrate a pattern of continued trade impact and provide important context for a determination of significance. A Cognizable Certification made before January 1, 2007 does not establish eligibility and cannot be the sole basis of trade impact significance.

13. Q: How do I apply for Community TAA funding?

A: Eligible communities may obtain pre-application and full application packages electronically at www.grants.gov or may request paper (hardcopy) pre-application and application packages by contacting the applicable EDA regional office.

See <u>section IV. starting at page 22 of the FFO</u> for more details on how to apply and <u>section VIII. at pages 33—39</u> for the applicable regional office.

14: Q: Will I be notified if EDA determines my community is an Impacted Community?

A: Yes. EDA will make Affirmative Determinations in connection with communities' applications for funding. EDA will notify communities whether or not they are selected for funding and whether EDA made an Affirmative Determination with respect to them.

15: Q: What are the Community TAA cost sharing or matching share requirements?

A: For Strategic Plan grants, the federal share of eligible costs may not exceed 75%. For implementation grants, the federal share of eligible costs may not exceed 95%. See sections 275(d) and 276(c)(1) of the Trade Act and 13 C.F.R. §§ 313.6(d) and 313.7(d)(2).

See also section III.D. at page 21 of the FFO.

16. Q: How will Community TAA funding applications be evaluated?

A: Applications received from eligible communities will be competitively evaluated based on the extent to which applications address the following six criteria:

- 1. Support small and medium-sized communities
- 2. Assist the most severely impacted communities
- 3. Deliver a high return on investment

- 4. Support regionalism, innovation and entrepreneurship
- 5. Support global trade and competitiveness
- 6. Grow the "green economy"

See section V. starting at page 29 of the FFO for more information.

17. Q: How long are the Community TAA funds available?

A: \$36,768,000 is available for the Community TAA Program and shall remain available until September 30, 2010, which means that EDA must obligate all funds to particular projects by that date. Please note the submission deadlines mentioned above.

See also section II.A. at page 7 of the FFO.

18. Q: What is the anticipated number and amount of awards?

A: EDA estimates that the size of a Community TAA award for a Strategic Plan will range from \$75,000 to \$200,000. EDA estimates that awards to implement projects or programs in Strategic Plans may range from \$700,000 to \$3.5 million. Based on the amount of funding and the allocations to the regional offices, EDA anticipates that some regions may award only one grant, and no region is likely to award more than 10 grants.

See also <u>section II.C. at page 8 of the FFO</u>.

19. Q: What is the type of funding instrument?

A: Subject to the availability of funds, EDA may enter into either grants or cooperative agreements with an eligible applicant in order to provide funding assistance for eligible activities.

See also section II.D. at page 8 of the FFO.

20. Q: What are the estimated project periods of the Community TAA grants?

A: EDA anticipates that grants to update and leverage an existing planning document, such as a Comprehensive Economic Development Strategy (CEDS), to range between <u>one and three months</u>. Grants to develop a new Strategic Plan will not exceed <u>12 months</u>. Strategic Plan implementation grants are expected to range from <u>12 to 36 months</u>.

See section II.E. at page 8 of the FFO for more information.

21. Q: Where are the EDA Regional Offices located?

A: <u>EDA's Regional Offices</u> are located in Atlanta, Austin, Chicago, Denver, Philadelphia and Seattle.

See <u>section VIII. at page 33—39 of the FFO</u> for a list of contacts.